GUIDANCE NOTE FOR PREPARING PROJECT IMPLEMENTATION ASSESSMENT AND SUPPORT REPORT VALIDATION NOTE (PIASR VN)

INDEPENDENT EVALUATIONS DEPARTMENT (IEvD)

NOVEMBER 2023

Contents

1. Abbreviations

IEvD	Independent Evaluation Department
PIASR	Project Implementation Assessment and Support Report
PIASR-VN	PIASR Validation Note
OTL	Operations Team Lead
PMU	Project Management Unit
ToR	Terms of Reference

2. Introduction

2.1   The Independent Evaluation Department (IEvD) of the Islamic Development Bank (IsDB) has the mandate to independently validate the quality of Project Implementation Assessment and Support Reports (PIASRs) prepared by the Operations Complex. The PIASR is one of the tools adopted by the Bank to enhance quality at implementation for achieving project results. It is used for assessing the progress and performance of projects during implementation. It provides a formal framework for effectively supervising the projects, including offering the Bank's implementation support to the Beneficiary with the ultimate objective of realizing the development effectiveness of projects. PIASR also provides important inputs into the Country Portfolio Performance Review and Project Completion Report.

2.2   As a policy, PIARS is prepared once a year for each active project to assess and monitor its implementation and to facilitate the realization of its outputs and outcomes and, ultimately, the intended development results.

2.3   PIASR facilitates early identification of any implementation bottlenecks, allowing for the development of action plans and recommendations to address them effectively. Additionally, PIASR helps capture valuable lessons from the implementation experience. Two crucial elements of PIASR are the provision of implementation support and diligent follow-up on recommendations.

3. Objectives of the PIASR-VN

3.1   The objectives of the PIASR-VN are to:

1. Review the ratings and confirm whether the evidence is sufficient to support the performance ratings and adjust the PIASR ratings when it is found not to be substantiated based on a desk review of the evidence and interviews with the operations team.

2. Contribute to improving future PIASR by assessing the quality of the PIASR, particularly the PIASR's conclusions, issues, and recommendations. Also, the PIASR-VN provides additional follow-up actions and recommendations, if appropriate.

3.2   This note is prepared to guide IEvD professional staff in reviewing the PIASR and preparing the PIASR Validation Note (PIASR-VN). Its primary objective is to ensure that a standardized approach is followed and consistent judgments are made against established benchmarks throughout the review and validation process.

3.3   This note describes the scope of the exercise and presents the methodology for conducting the review and validation process, along with the feedback and dissemination procedures.

4. Scope and Methodology

4.1   The validation exercise of the PIASR is carried out using both desk review and interviews with key project staff at the Operations Complex, Finance Complex, Project Management Unit (PMU) staff, and other project stakeholders, where necessary. The evaluators should engage with the PMU through the Country Operations Manager or the Operations Team Lead (OTL).

4.2   The total number of days to prepare the PIASR-VN should be at most five (5) working days. The PIASR-VN is prepared by thoroughly examining the PIASR, PIASR mission back-to-office report, and other pertinent project documents, including the project appraisal document, project financing agreements, project implementation and procurement plan, previous PIASRs (if applicable), project annual progress reports, disbursement reports, audit reports, project contracts, and project monitoring and evaluation data files. Additionally, it is recommended that IEvD staff conduct interviews with the project Operations Team Lead (OTL) and other relevant project staff to gather valuable insights for the validation process.

4.3   The scope of the validation includes the preparation of the PIASR-VN using the template provided in Annex 1. The PIASR-VN will assess the quality of the PIASR and validate its ratings and recommendations. More specifically, the PIASR-VN will include the following key areas/topics:

    i.   *Project Implementation Progress:* Review and validate the PIASR assessment of : **(a) Project's Organization and Management:** This review and validation should cover PMU human resource structure, skills, capacity building and technical assistance. This is important and helps to ensure that the project stays aligned with its initial goals and objectives while effectively addressing any changes or challenges that have arisen during implementation. **(b) Progress on the PMU Annual Work Program**: The PIASR VN should review and validate (i) the completeness of the PMU work program (including disbursement and procurement plans) reported in the PIASR, (ii) PMU Work Program prepared and submitted to the Bank within the reporting period, (iii) A comprehensive review of the annual work program progress, during the period under review, (iv) existence of a planned work program for the subsequent reporting period. **(c) Project Physical and Financial Implementation Progress:** Thoroughly review and validate the analysis presented in the PIASR with respect to the project's implementation progress, with a particular focus on the physical execution of project activities, based on its components and activities.

    ii.   *Development Results* in the project logical framework. In addition, assess reported intermediate outcomes achieved to date and the project's likelihood of achieving the overall development objective. The validation aims to ensure the PIASR assessment is evidence-based and provides qualitative and quantitative analysis of the implementation progress..

    iii.   *Project Risks and Sustainability:* Review and validate the project risks table provided in the PIASR. Evaluate the report's proposed risks and mitigating measures for addressing the identified issues. Verify that each solution is relevant to the specific risk it addresses and that it demonstrates a practical and feasible approach to resolving the challenge. The PIASR VN should, as appropriate, challenge, reformulate, and suggest additional risks based on the IEvD evaluator's assessment and review.

    iv.   *Issues and Follow Up Actions:* (i) Review and validate the challenges/issues/problems discussed in the PIASR. The evaluator should critically examine the comprehensiveness of the identified challenges and evaluate the relevance and applicability of the proposed solutions. (ii) Review PIASR follow-up actions and recommendations, reformulate them, if necessary, and propose additional follow-up actions and recommendations as appropriate. Follow-up actions and recommendations should be specific and within the authority of the nominated entity and the person responsible for carrying them out. Indicate the name of

the entity responsible for acting, the time frame, and the responsibility for monitoring and reporting. Follow-up actions and recommendations should be formulated as per the IEvD Guidance Note.

v. *Project performance rating:* validate and adjust the PIASR rating, as necessary, based on the findings of the validation.

vi. *PIASR Quality Assessment:* The quality of the PIASR is assessed based on various criteria, including the thoroughness and soundness of evidence and analysis presented in the report. The validation involves scrutinizing the identification and assessment of key factors influencing the project, addressing safeguards, fiduciary matters, and alignment, as well as ensuring clarity and evidence-based recommendations.

5. PIASR Validation Process

5.1   Upon the availability of a PIASR, the Manager of Project and Program Evaluations Division allocates it to an Evaluator for the preparation of the PIASR-VN and designates an appropriate internal peer reviewer.

5.2   The assigned Evaluator is responsible for the final quality PIASR-VN.

5.3   The Evaluator/Task Manager will collect all the relevant documents/reports and data for the PIASR review and validation process. The Evaluator/Task Manager will establish a communication link with the appropriate Operational Department staff (and project executing agency if needed).

5.4   The Evaluator

1. Reviews pertinent project documents/reports to generate evidence.

2. Interviews the relevant Bank staff, including the PCR team leader and/or project officer, to seek information and clarification and to discuss the project implementation and performance.

3. Uses evidence from documents and staff discussions/interviews to prepare the First Draft (V0.1) PIASR-VN using the PIASR-VN template and in line with the Guidance Note for Preparing the PIASR-VNs. If the draft is prepared by a consultant, the Evaluator should review and proofread the document before submitting it for peer review.

4. Submits the First Draft (V0.1) of the PIASR-VN for a peer review.

5. Attends discussions with a Peer Reviewer, if necessary; revises the Second Draft (V0.2) of the PIASR-VN based on the peer reviewer's comments; and submits the Third Draft (V0.3) of the PIASR-VN for the Lead Project and Program Evaluation Specialist's review and clearance.

6. Revises the document based on comments received from the Lead and/or Manager, if any, and submit a revised version to the Lead.

7. Updates the report based on the comments and suggestions of the relevant Operations Department and submits a Final Draft (V1.0) of the PIASR-VN to the Lead for clearance.

5.5   Peer Reviewer:

1. Reviews the First Draft (V0.1) of the PIASR-VN: critically assesses the quality and evidence base of the content (especially the findings, lessons, and recommendations).

2. Provides appropriate comments and suggestions (written and/or verbal) as needed for ratings, internal consistency, logic, completeness, language, and presentation (Second Draft V0.2[1]).

3. Meets with the Evaluator to clarify comments, if necessary.

5.6   Lead Evaluator:

1. Discusses with the Evaluator and the Peer Reviewer their different views/comments on the First Draft (V0.1) of the PIASR-VN.

2. Reviews and checks the Third Draft (V0.3) of the PIASR-VN for quality assurance and compliance with the PIASR-VN Guidelines. Also ensures that the comments and suggestions of the peer reviewer are adequately incorporated/addressed.

3. Clears and submits the Fourth Draft (V0.4) of the PIASR-VN to the Manager for approval for onward submission to the relevant Operations Department or their review and comments.

4. Clears and submits the Sixth Draft (V0.6) of the PIASR-VN to the Manager for approval.

5.7   The Manager:

1. Assigns an Evaluator for the preparation of the PIASR-VN and a Peer Reviewer for the PIASR-VN.

2. Approves the Fifth Draft (V0.5) of the PIASR-VN to share with the relevant Operations Department.

3. Approves the Final Version (V1.) of the PIASR-VN and notifies the Director, IEvD.

6. Feedback and Dissemination

6.1   The final PIASR-VN is distributed to the concerned Operational Departments and is made publicly accessible through the IEvD's portal "LEARN."

7. Revision

7.1   This guideline will be revised every three years.

8. Entry into Force

8.1   This Guidance Note comes into effect on the date of its approval by the Director, IEvD.

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[1] This version is the peer annotated version based on the First Drat (V0.1)

Annex 1: PIASR-VN Template

(the PIASR-VN Template is provided in a separate file)

Annex 2: Terms of Reference (ToR) for the preparation of PIASR-VN

The Independent Evaluations Department (IEvD) of IsDB is entrusted with the responsibility of conducting validations of Project Implementation Assessment and Support Reports (PIASRs) prepared by the Operations Complex. These assessments play a crucial role in ensuring the quality, effectiveness, and developmental impact of the Bank's ongoing projects. This ToR outlines the key responsibilities and procedures for the comprehensive review and validation of PIASRs. The ToR should be used in tandem with the PIASR VN guidelines.

Objective

- To guide IEvD professional staff in consistently validating PIASRs using a standardized approach.
- To ensure the quality and accuracy of the PIASRs assessment, including ratings and recommendations.
- To facilitate the timely dissemination of validated PIASR-VNs to relevant stakeholders.

Scope and tasks

- Review pertinent project documentation/reports to generate evidence. These reports include PIASR, PAD, PIASR Mission Back-to-Office Report, PMU Annual Progress Report, Procurement Report, Disbursement Reports, M&E Reports and files, and any other relevant documents including reports generated by PMU and development partners co-financing the project as applicable.
- Interviews and discussions with PIASR lead, OTL, procurement officer, disbursement officer, and any other relevant Bank staff on project implementation and performance for information gathering and verification.
- Preparation of PIASR-VN.
- Internal and external peer review draft PIASR-VN for quality assurance.
- Revise the PIASR-VN and prepare the final PIASR-VN for clearance and dissemination.

Roles and responsivities

The Evaluator

- Reviews pertinent project documents/reports to generate evidence.
- Interviews the relevant Bank staff, including the PCR team leader and/or project officer, to seek information and clarification and to discuss the project implementation and performance.
- Uses evidence from documents and staff discussions/interviews to prepare the First Draft (V0.1) PIASR-VN using the PIASR-VN template and in line with the Guidance Note for Preparing the PIASR-VNs. If the draft is prepared by a consultant, the Evaluator should review and proofread the document before submitting it for peer review.

- Submits the First Draft (V0.1) of the PIASR-VN for a peer review.
- Attends discussions with a Peer Reviewer, if necessary; revises the Second Draft (V0.2) of the PIASR-VN based on the peer reviewer's comments; and submits the Third Draft (V0.3) of the PIASR-VN for the Lead Project and Program Evaluation Specialist's review and clearance.
- Revises the document based on comments received from the Lead and/or Manager, if any, and submit a revised version to the Lead.
- Updates the report based on the comments and suggestions of the relevant Operations Department and submits a Final Draft (V1.0) of the PIASR-VN to the Lead for clearance.

Peer Reviewer:

- Reviews the First Draft (V0.1) of the PIASR-VN: critically assesses the quality and evidence base of the content (especially the findings, lessons, and recommendations).
- Provides appropriate comments and suggestions (written and/or verbal) as needed for ratings, internal consistency, logic, completeness, language, and presentation (Second Draft V0.22).
- Meets with the Evaluator to clarify comments, if necessary.

Lead Evaluator:

- Discusses with the Evaluator and the Peer Reviewer their different views/comments on the First Draft (V0.1) of the PIASR-VN.
- Reviews and checks the Third Draft (V0.3) of the PIASR-VN for quality assurance and compliance with the PIASR-VN Guidelines. Also ensures that the comments and suggestions of the peer reviewer are adequately incorporated/addressed.
- Clears and submits the Fourth Draft (V0.4) of the PIASR-VN to the Manager for approval for onward submission to the relevant Operations Department or their review and comments.
- Clears and submits the Sixth Draft (V0.6) of the PIASR-VN to the Manager for approval.

The Manager:

- Assigns an Evaluator for the preparation of the PIASR-VN and a Peer Reviewer for the PIASR-VN.
- Approves the Fifth Draft (V0.5) of the PIASR-VN to share with the relevant Operations Department.
- Approves the Final Version (V1.) of the PIASR-VN and notifies the Director, IEvD.

Deliverables and Timeframe:

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[2] This version is the peer annotated version based on the First Drat (V0.1)

The deliverables are a draft and a final PIASR Validation Note using the PIASR-VN template. The total number of days to prepare PIASR-VN is set for five working days, not including the period for peer reviewing.

PROJECT IMPLEMENTATION ASSESSMENT AND SUPPORT REPORT – VALIDATION NOTE (PIASR-VN)

[Project Name (Code), Country]

INDEPENDENT EVALUATIONS DEPARTMENT (IEvD)

AUGUST 2023

Note: Questions about this report should be addressed to IEvD at Eval@isdb.org

Contents

A. PROJECT INFORMATION

A.1. PIASR VN Information

Position	Name
PIASR-VN date	
IEvD Evaluator	
Lead Evaluator	
Division Manager	
IEvD Director	

A.2. PIASR Responsible Bank Staff

Position	At Appraisal/Approval	Current
Regional Hub Manager		
Global Practice / Sector Manager		
Operation Team Lead/Project Officer		
PIASR Team Lead		

A.3. Project Data and Information

Project Name					
Project Code					
Project Location	Country				
	City / Region				
Executing Agency					
Projec					
PMU Head	Name, email and contact no.				
Total Project Cost	Tentative Cost				
Proposed IsDB Financing	Mode (s)	Insert Proposed Mode(s)	Amount	Insert IsDB Financing	
Co-Financiers	Insert Name(s) 1.		Insert Financing Amount		
	2.				
	n.				
Currency of Financing					
Terms and Conditions of Financing					
Project Key Dates	*Planned*		*Actual*		
- Signature					
- Effectiveness					
- First disbursement					
Last disbursement					
Number of Extensions last date of disbursement with dates					
Previous PIASRs	*Date*		*Ratings*		
PIASR 1					
PIASR 2					
PIASR n					

B. PROJECT IMPLEMENTATION PROGRESS

B.1. Project's Organization and Management

Review and validate the Project Implementation Assessment and Support Report (PIASR) assessment of the current Project Management Unit (PMU) structure and staffing compared to the initial structure proposed in the project appraisal document (PAD). This review and validation should cover PMU human resource structure, skills, capacity building and technical assistance. This is important and helps to ensure that the project stays aligned with its initial goals and objectives while effectively addressing any changes or challenges that have arisen during implementation. Hence, the evaluator should:

- Review and validate the compliance of the PMU structure (including skills) with the structure proposed in the appraisal document. This includes assessing the staffing levels and competencies of the project team. Are the project staff members fulfilling their roles and responsibilities effectively? Are there any changes in staffing that have occurred since the appraisal/effectiveness? Evaluate whether the team's composition and skills are in line with the project's requirements and objectives.

- Review and validate whether the technical assistance, provided, if any, aligns with what was planned at the appraisal stage. Reflect on the quality and adequacy of technical support given to the project. Are the technical experts or consultants contributing as expected? Are there any gaps or areas where additional assistance is needed?

- Additionally, review and validate whether the project has an established monitoring & evaluation system and determine its operational status and utilization by both the PMU and other relevant project stakeholders.

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

B.2. Progress on the PMU Annual Work Program

The PIASR should provide a comprehensive evaluation of the PMU Annual Work Program implementation and progress. The is essential to compare the actual achievements and milestones achieved against the planned activities. This assessment involves gathering data, reviewing and analyzing reports, as well as considering any external factors that may have influenced progress. Ultimately, a comprehensive understanding of progress on the PMU Annual Work Program contributes to effective project management, transparency, accountability, and the successful realization of the project's overall objectives. Hence, the PIASR VN should review and validate

- the completeness of the PMU work program (including disbursement and procurement plans) reported in the PIASR.
- PMU Work Program prepared and submitted to the Bank within the reporting period.

- PMU Work Program Implementation Progress. The PIASR should provide a comprehensive review of the annual work program progress, during the period under review
- existence of a planned work program for the subsequent reporting period. This is important to confirm that the upcoming work program is in alignment with the overall timeline of the project's implementation framework. This alignment ensures that the project's objectives and targets are consistently pursued.

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

B.3.   Project Physical and Financial Implementation Progress

The evaluator should thoroughly review and validate the analysis presented in the PIASR with respect to the project's implementation progress, with a particular focus on the physical execution of project activities, based on its components and activities. The analysis should cover the following areas:

- *Physical implementation progress of the project components:* review and validate the comprehensiveness of the PIASR in making a comparison between the actual progress of the project components and the initial implementation plan as the time frame provided in the appraisal document. Verify the progress/completion of works and outputs as reported in the PIASR and cross-reference them with the initial targets set in the project's logical framework. Ensure that the PIASR assessment includes a forecast of components/activities completion date along with a comparison with the initial planned date as documented in the appraisal document. Ensure the PIARS also provides information about completed activities with actual completion dates along with the planned dates in the appraisal. Verify that the assessment is based on concrete evidence and includes both qualitative and quantitative analyses of the implementation progress. Pay attention to any justifications provided in the PIASR and validate their accuracy and relevance to the implementation progress and delays.

- *Project financial performance and compliance:* Review and validate disbursement progress as presented in section G of the PIASR. Assess the disbursement performance of the project and ensure the data is complete and consistent with the PMU work plans (current and planned) for the review period. In doing so, assess the correlation between the project implementation timeframe and disbursement forecasts. Furthermore, assess the project's compliance with co-financing arrangements, financial reporting, and auditing. Scrutinize and validate the rationale, explanations and assigned rating provided in the PIASR.

- *Procurement of goods, works, and services:* Review and validate project's timely delivery of the procurement of goods, works and services. The objective is to offer insights into the project's ability to efficiently manage its procurement processes, maintain financial discipline, and uphold quality standards. In this regard, it is important to carefully assess the procurement schedule – by verifying whether the procurement milestones were achieved as planned and whether any delays or accelerations occurred. It is crucial to ascertain that procurement-

related tasks were completed within the allocated time frames to prevent disruptions in project implementation. Furthermore, scrutinize the financial aspect of procurement, evaluating whether the costs associated with acquiring goods, works, and services align with the budgeted amounts. Any significant variances between actual and budgeted expenses will be noted and analyzed for their potential impact on the project's financial performance.

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

B.4. Scoring of the Project Implementation Arrangements

Criteria	PIASR Score (0 – 1)	Evaluator's Score (0-1)	Remarks
Staffing of the PMU/PIU with required expertise-capacity			
Continuity of the PMU/PIU staff			
Availability of counterpart funds as per the financing agreement			
Overall annual work plan of the PMU/PIU in place			
Annual procurement plan of PMU/PIU in place and updated			
Annual disbursement plan of PMU/PIU in place and updated			
Monitoring and evaluation system/plan of PMU/PIU in place and updated			
Submission of the progress reports to IsDB			
IsDB received audit reports as per the terms and conditions of financing agreement			
Financial progress (disbursements) against elapsed implementation period (%)			
Procurement progress against elapsed implementation period (%)			
Average Score			

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

C. DEVELOPMENT RESULTS

C.1. Describe the likelihood of achieving the overall development objective of the project

Review and validate PIASR findings and assessment for the project's likelihood of achieving its overall development objectives. This includes reviewing the implementation of project components (such as procurement and infrastructure development), intermediate outcomes,

current and potential risks and mitigating measures, resource allocation (including contributions from co-financiers), and relevant historical data. The assessment should provide a clear understanding of the factors influencing the project successfully meeting its intended outcomes. Also, review/analyze how well the project approach, activities, and interventions are structured to contribute to the desired outcomes.

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

C.2.   Describe the achievement of the intermediate outcomes achieved so far

Review and validate the PIASR assessment of the intermediate outcomes that were set for the project (cross-reference with the results-based logical framework (RBLF) included in the project document). These include initial as well as modified milestones and targets that the project aimed to achieve within specific timeframes.

Review and validate the intermediate outcomes are linked to the broader development objectives. Analyze the implications of these achievements for the remainder of the project and their potential impact on the outcomes. The description of intermediate outcomes should be aligned with the project's timeline. Hence, it is important to indicate the timeframe within which these outcomes were achieved. This not only demonstrates the project's timely implementation and pace but also provides a historical record of progress over time.

Explain how progress is being monitored and measured, including any data collection methods, tools, and sources used to monitor the outcomes.

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

C.3.   Changes and issues with project results framework to validate the results[1].

Review and validate the PIASR findings and suggested modifications to the project results framework, if any. If the PIASR recommends changes or enhancements to the results framework, these revisions must be substantiated and conveyed within the RBLF. This is important for accurately assessing project outcomes and making necessary adjustments to ensure effective monitoring and reporting.

Thoroughly evaluate the implications of these changes (and their justifications) on the overall development objectives of the project, as well as on project finances, to ensure a transparent assessment of their impact.

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[1] Any suggested changes that may be required in the RBLF should be reflected in the revised RBLF, to be attached as an Annex to the PIASR

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

C.4. Scoring of Development Results Progress

Outputs	Indicators (CSIs and others)	Base line	Targets	Achievements to-date (%)	PIASR Score (0 – 1)	Evaluators' Score (0-1)	Remarks/ justifications
Output 1							
Output 2							
Output 3							
Output 4							
Output n							
Average Score							

D. PROJECT RISKS AND SUSTAINABILITY

Review and validate the project risks table provided in the PIASR. Evaluate the report's proposed risks and mitigating measures for addressing the identified issues. Verify that each solution is relevant to the specific risk it addresses and that it demonstrates a practical and feasible approach to resolving the challenge. The PIASR VN should, as appropriate, challenge, reformulate, and suggest additional risks based on the IEvD evaluator's assessment and review.

	Assessment PIASR		Evaluator's	
Risks	Mitigation Measure	Score (0−1)	Recommendations	Score (0−1)
Risk 1				
Risk 2				
Risk 3				
Risk 4				
Average Score				

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

E. COMPLIANCE WITH CONDITIONS OF FINANCING

Review and validate PIASR findings and assess whether the conclusions are supported by evidence from project financing agreements and other relevant reports and whether the basis of drawing such conclusions is compelling.

SrNo	PIASR			Evaluator's	
	Conditions/ Covenants	Compliance	Score (0-1)	Score (0—1)	Remarks
1					
2					
3					

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

F. GENERAL COMMENTS/CONCLUSIONS AND RECOMMENDATIONS

F.1. Problems/Issues Faced in Project Implementation

Review and validate the challenges/issues/problems discussed in the PIASR. The PIASR should clearly articulate the implementation hurdles that the project has encountered, is presently confronting, and could potentially encounter in the future. Those challenges may be related to project administration and management, procurement, disbursement, result monitoring, legal, technical or policy related issues. All of which should be clearly explained with possible solutions to addressing them. The evaluator should critically examine the comprehensiveness of the identified challenges and evaluate the relevance and applicability of the proposed solutions.

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

F.2. Follow-up Action Plan

Review PIASR recommendations, reformulate them, if necessary, and propose additional recommendations as appropriate. Recommendations should be specific and within the authority of the nominated entity and the person responsible for carrying them out. Indicate the name of the entity responsible for acting, the time frame and the responsibility for monitoring and reporting. Recommendations should be formulated as per the IEvD Guidance Note.

Sr. No.	Issues	Follow-up Actions/Recommendations	Responsibility	Timeframe
1.				
2.				
3.				

G. PIASR QUALITY ASSESSMENT

Criteria	Evaluator's Score (0—1)	Comments

1. Extent of quality, soundness and completeness of the PIASR evidence and analysis to substantiate the ratings of the various sections:		
2. Extent of internal consistency of PIASR assessment ratings; inaccuracies; inconsistencies (in various sections; between texts and ratings; consistency of overall rating with individual component ratings)		
3. Extent of identification and assessment of key factors (internal and exogenous) and unintended effects (positive and negatives) affecting design and implementation		
4. Adequacy of treatment of safeguards, fiduciary issues, and alignment and harmonization		
5. Extent to which issues identified and recommendations proposed are clear and based on the PIASR assessment (evidence & analysis)		
6. PIASR Preparation Process and Timeliness (On time, i.e. PIASR is prepared once a year after the project is declared effective and under disbursement)		
7. Extent of participation of Government, EA and PMU as well as co-financiers and regional hub in PIASR preparation		
8. PIASR Quality Score (%): The overall score would be the simple un-weighted average of scores (in %) for the seven indicators/criteria above		
9. PIASR Quality Rating (as per Annex 3)		

H. PIASR OVERALL SCORE AND RATING

Sr. No.	Criteria	PIASR Score	Evaluator's Score
1	Project Implementation Arrangements		
2 (a)	Project Procurement: Delivery of Goods, Works and Services- Status of the Contract Award		
2 (b)	Project Procurement: Delivery of Goods, Works and Services- Compliance with Schedule, Cost and Quality as per the Contracts		
4	Disbursement		
5	Development Results		
6	Project Risks and Sustainability		
7	Compliance with Conditions of Financing		
Overall Score			
Overall Rating (refer to Annex-3 for rating)			

Note: Evaluator summarizes his/her assessment, draws his/her conclusions and states whether (s)he agrees with the PIASR score. In the case of non-agreement, the Evaluator should give reasons and provide evidence to justify the non-acceptance of the PIASR score.

ANNEX 1-PIASR

Insert the PIASR here.

ANNEX 2-PROJECT APPRAISAL DOCUMENT

Please insert the PAD here.

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ANNEX 3- PIASR SCORE AND RATING SCALE

Overall Score	≥0.90 & =1	< 0.90 & ≥0.60	<0.60 & ≥0.35	<0 & >0.35
Overall Rating	Highly Satisfactory	Satisfactory	Partly Satisfactory	Unsatisfactory

Project Implementation Arrangement	No change/gap	Minor change/gap	Deviation/gap of <35%	Deviation/gap of >50%
Procurement: Contract Award	90% or more of the target is being met	Less than 90% and more than 60% of the target is being met	Less than 60% of the target is being met	Less than 35% of the target is being met
Procurement: Delivery of Goods, Works and Services • Schedule • Cost • Quality	• <6 months delay • Less than 10% variation • Less than 10% variation	• 6-12 months delay • Less than 40% variation • Less than 40% variation	• 12-18 months delay • Less than 65 % variation • Less than 65% variation	• >18 months delay • More than 65% variation • More than 65% variation
Disbursement - Annual - Cumulative	90% or more of the target is being met	60% or more of the target is being met	35% or more of the target is being met	<35% of the target is being met
Development Results - Outputs	90% or more of the target is being met	60% or more of the target is being met	35% or more of the target is being met	Less than 35% of the target is being met
Compliance with Conditions of Financing	No change/gap	Deviation/gap of <25%	Deviation/gap of <35%	Deviation/gap of >50%